

09/28/01
JC904 U.S. PTO

10-01-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. AD6813 USNA
First Inventor MARK S. EDWARDS ET AL.
Title METHOD AND APPARATUS FOR MAKING BRISTLE SUBASSEMBLIES
Express Mail Label No. EK004594755US

09/28/01
PTO
06/06/01
06/06/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 56]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 26]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ /
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23906
23906
PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name	TAMERA L. FAIR				
	E. I. du Pont de Nemours and Company				
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Name (Print/Type)	TAMERA L. FAIR	Registration No. (Attorney/Agent)	35,867
Signature	<i>Tamera L. Fair</i>	Date	28 September 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1294

Complete if Known

Application Number
Filing Date SEPTEMBER 28, 2001
First Named Inventor MARK S. EDWARDS ET AL.
Examiner Name
Group / Art Unit
Attorney Docket No. AD6813 USNA

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

04-1928

Deposit
Account
Name

E. I. du Pont de Nemours and Company

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	710	201	355		Utility filing fee	710
	106	320	206	160		Design filing fee	
	107	490	207	245		Plant filing fee	
	108	710	208	355		Reissue filing fee	
	114	150	214	75		Provisional filing fee	

SUBTOTAL (1)

(\$) 710

2. EXTRA CLAIM FEES

Total Claims	78	-20	=	58	Extra Claims	Fee from below	X	18	=	104	Fee Paid
Independent Claims	9	-3	=	6		80	X		=	480	
Multiple Dependent						270	X		=	0	

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	103	18	203	9		Claims in excess of 20
	102	80	202	40		Independent claims in excess of 3
	104	270	204	135		Multiple dependent claim, if not paid
	109	80	209	40		** Reissue independent claims over original patent
	110	18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 584

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	205	65		Surcharge - late filing fee or oath	
	127	50	227	25		Surcharge - late provisional filing fee or cover sheet.	
	139	130	139	130		Non-English specification	
	147	2,520	147	2,520		For filing a request for reexamination	
	112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
	115	110	215	55		Extension for reply within first month	
	116	390	216	195		Extension for reply within second month	
	117	890	217	445		Extension for reply within third month	
	118	1,390	218	695		Extension for reply within fourth month	
	128	1,890	228	945		Extension for reply within fifth month	
	119	310	219	155		Notice of Appeal	
	120	310	220	155		Filing a brief in support of an appeal	
	121	270	221	135		Request for oral hearing	
	138	1,510	138	1,510		Petition to institute a public use proceeding	
	140	110	240	55		Petition to revive - unavoidable	
	141	1,240	241	620		Petition to revive - unintentional	
	142	1,240	242	620		Utility issue fee (or reissue)	
	143	440	243	220		Design issue fee	
	144	600	244	300		Plant issue fee	
	122	130	122	130		Petitions to the Commissioner	
	123	130	123	130		Petitions related to provisional applications	
	126	180	126	180		Submission of Information Disclosure Stmt	
	581	40	581	40		Recording each patent assignment per property (times number of properties)	
	146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
	149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
	179	710	279	355		Request for Continued Examination (RCE)	
	169	900	169	900		Request for expedited examination of a design application	
Other fee (specify)							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3)							(\$) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) TAMERA L. FAIR Registration No. Attorney/Agent 35,867 Telephone 302-892-7948
Signature Tamera L. Fair Date September 28, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.